

5        59. (Amended) A reduced complexity sequence estimator for processing a multi-dimensional signal received from channel having a channel memory, comprising:  
            a prefilter to shorten a channel memory;  
            a branch metrics unit for precomputing one-dimensional branch metrics for possible values of said shortened channel memory and for each dimension of the multi-dimensional signal;  
            means for combining said one-dimensional branch metric into at least two-dimensional branch metrics; and  
            a multiplexer for selecting one of said at least two-dimensional branch metrics based on at least one decision from at least one corresponding state.

10

REMARKS

The present application was filed on December 23, 1999 with claims 1 through 59. Claims 1 through 59 are presently pending in the above-identified patent application. Claims 1, 2, 4, 7, 11-22, 24, 31, 36-38, and 47-59 are proposed to be amended herein.

15        In the Office Action, the Examiner required restriction to claims 1-38 and 47-59 or claims 39-46 under 35 U.S.C. 121. The Examiner also objected to the Abstract because it contains more than 150 words. The Examiner rejected claims 2, 14 and 19 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The Examiner indicated that claims 1, 3-13,  
20        15-18, 20-38, and 47-59 are allowed and indicated that claims 2, 14, and 19 would be allowable if rewritten to overcome the rejections under 35 U.S.C. 112, second paragraph, set forth in this Office Action and to include all of the limitations of the base claim and any intervening claims.

Elections/Restrictions

25        The Examiner indicated that restriction to one of the following inventions was required under 35 U.S.C. 121 :  
            I. Claims 1-38 and 47-59, drawn to a reduced complexity sequence estimation, classified in class 375, subclass 341.  
            II. Claims 39-46, drawn to memories for storing and transferring data, classified in class 71 I, subclass 1 00.

Applicants hereby elect the claims of Group I with traverse and withdraw the claims of Group II, without prejudice.

Formal Objections

The Abstract was objected to because it contains more than 150 words.

5 The Abstract has been amended in accordance with the Examiner's suggestion and Applicants respectfully request that the objection be withdrawn.

10 The specification has also been amended using the Substitute Specification procedure, as indicated in the enclosed marked up version of the original specification. The Amendments correct typographical errors. A substitute specification incorporating such changes is also submitted herewith. No new matter has been introduced.

Section 112 Rejections

Claims 2, 14 and 19 were rejected under 35 U.S.C. 1 12, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

15 The Examiner notes that claim 2 recites the limitation "an intersymbol interference estimate for a particular assignment can be obtained by evaluating the following equation ..." on lines 4-6. The Examiner asserts the language "can be obtained by evaluating the following equation ..." is not a definite language to indicate whether the "intersymbol interference estimate for particular assignment" is necessary to be obtained by the "equation".

20 The Examiner also asserts that the parameters Zn, L, ii, a~n-L, ..., a~n-1 in claim 2 and the parameters Zn,j, an,j, L, ii,j, a~nj, an-L"j,..., an-l,j , a~n-L"j,..., a~n-l,j in claims 14 and 19 are not defined.

25 Claim 2 has been amended to state "a particular assignment is obtained by evaluating the following equation." Claims 14 and 19 have been amended to define the parameters identified by the Examiner. Applicants believe that these amendments address the Examiner's concerns under Section 112, and respectfully request that the rejections under Section 112, second paragraph, be withdrawn.

Conclusion

All of the pending claims, i.e., claims 1-38 and 47-59, are in condition for allowance and such favorable action is earnestly solicited.

If any outstanding issues remain, or if the Examiner has any further suggestions for  
5 expediting allowance of this application, the Examiner is invited to contact the undersigned at the telephone number indicated below.

The Examiner's attention to this matter is appreciated.

Respectfully submitted,



Kevin M. Mason  
Attorney for Applicant(s)  
Reg. No. 36,597  
Ryan, Mason & Lewis, LLP  
1300 Post Road, Suite 205  
Fairfield, CT 06824  
(203) 255-6560

10

Date: May 29, 2003

15

**VERSION MARKED TO SHOW ALL CHANGES****IN THE ABSTRACT:**

5 Please amend the Abstract as indicated below:

A method and apparatus are disclosed for improving the processing time of reduced complexity sequence estimation techniques, such as reduced state sequence estimation [(RSSE). The possible values for the branch metrics in the RSSE are precomputed to permit pipelining and the  
10 shortening of the critical path]. Precomputing the branch metrics for all possible symbol combinations in the channel memory makes it possible to remove the branch metrics unit [(BMU)] and decision-feedback unit [(DFU)] from the feedback loop, thereby reducing the critical path. [A look-ahead branch metrics unit (LABMU) and an intersymbol interference canceller (ISIC) precompute the branch metrics for all possible values for the channel memory. At the beginning of  
15 each decoding cycle, a] A set of multiplexers [(MUXs)] select the appropriate branch metrics based on the survivor symbols in the corresponding survivor path cells [(SPCs), which are then sent to an add-compare-select unit (ACSU)]. The computational load of the precomputations is reduced for multi-dimensional trellis codes by precomputing each dimension of the multi-dimensional trellis code separately. [Prefiltering techniques are used to reduce the computational complexity by  
20 shortening the channel memory.] A hybrid survivor memory architecture is also disclosed for a RSSE for a channel having a channel memory of length  $L$ , where the survivors corresponding to the  $L$  past decoding cycles are stored in a register exchange architecture (REA), and survivors corresponding to later decoding cycles are stored in a trace-back architecture (TBA) or REA. [register exchange architecture (REA). Symbols are mapped to information bits to reduce the word  
25 size before being moved from the first register exchange architecture (REA) to the trace-back architecture (TBA) or the second register exchange architecture (REA).]

IN THE CLAIMS:

Please amend the claims as indicated below:

Please cancel claims 39-46, without prejudice.

5

1. (Amended) A method for processing a signal [received from a dispersive channel] using a reduced complexity sequence estimation technique, [said channel having a channel memory,] said method comprising the steps of:

precomputing branch metrics [for each possible value of said channel memory];

10 selecting one of said precomputed branch metrics based on at least one [past] decision[s] from at least one corresponding state[s]; and

selecting a path having a best path metric for a given state.

15 2. (Amended) The method of claim 1, wherein said precomputed branch metrics [for a transition from channel assignment  $\tilde{\alpha}$  under input  $a_n$ ] is given by:

$$\tilde{\lambda}_n(z_n, a_n, \tilde{\alpha}) = (z_n - a_n + \tilde{u}(\tilde{\alpha}))^2.$$

wherein an intersymbol interference estimate [for a particular channel assignment  $\tilde{\alpha} = (\tilde{a}_{n-L}, \dots, \tilde{a}_{n-1})$ ] can be] is obtained by evaluating the following equation:

$$\tilde{u}(\tilde{\alpha}) = -\sum_{i=1}^L f_i \tilde{a}_{n-i}$$

20 and wherein  $z_n$  is the detector input at time instant  $n$ ,  $L$  is a channel memory length,  $\{f_i\}_{i \in [0, \dots, L]}$  are coefficients of the equivalent discrete-time channel impulse response,  $a_n$  is a channel symbol, and  $\tilde{\alpha} = (\tilde{a}_{n-L}, \dots, \tilde{a}_{n-1})$  is a sequence of channel symbols.

25 3. (Unamended) The method of claim 1, wherein said path metric is an accumulation of said corresponding branch metrics over time.

4. (Amended) The method of claim 1, wherein an appropriate branch metrics  $\lambda_n(z_n, a_n, \rho_n)$  is selected from said precomputed branch metrics  $\tilde{\lambda}_n(z_n, a_n, \tilde{\alpha})$  using the survivor path  $\hat{\alpha}_n(\rho_n)$ :

30 
$$\lambda_n(z_n, a_n, \rho_n) = \text{sel}\{\Lambda_n(z_n, a_n, \rho_n)\hat{\alpha}_n(\rho_n)\}.$$

wherein  $\Lambda_n(z_n, a_n, \rho_n)$  is a vector containing the branch metrics  $\tilde{\lambda}_n(z_n, a_n, \tilde{a})$ , which can occur for a transition from state  $\rho_n$  [under input] and which correspond to channel symbol  $a_n$ , but [for] different channel [assignments] sequences  $\tilde{a}$ , and wherein  $\hat{a}_n(\rho_n)$  is the survivor sequence leading to state  $\rho_n$ .

5

5. (Unamended) The method of claim 1, wherein said best path metric is a minimum or maximum path metric.

10 6. (Amended) The method of claim 1, wherein said reduced complexity sequence estimation technique is a reduced state sequence estimation [(RSSE)] technique.

7. (Amended) The method according to claim 6, wherein said reduced state sequence estimation [(RSSE)] technique is a delayed decision-feedback sequence estimation [(DFSE)] technique.

15

8. (Amended) The method according to claim 6, wherein said reduced state sequence estimation [(RSSE)] technique is a parallel decision-feedback equalization [(PDFE)] technique.

20

9. (Unamended) The method of claim 1, wherein said reduced complexity sequence estimation technique is an implementation of the Viterbi algorithm.

10. (Unamended) The method of claim 1, wherein said reduced complexity sequence estimation technique is an implementation of the M algorithm.

25

11. (Amended) The method of claim 1, wherein said [past] decisions from a corresponding state[s] are based on past symbols from a corresponding survivor path cell (SPC)] is a survivor symbol.

30

12. (Amended) The method of claim 1, wherein said [past] decision[s] from a corresponding state[s] are based on past decisions from a corresponding add-compare-select cell

(ACSC)] is an add-compare-select decision.

13. (Amended) A method for processing a multi-dimensional [trellis code] signal [received from a dispersive channel] using a reduced complexity sequence estimation technique, said 5 channel having a channel memory, said method comprising the steps of:

precomputing [a] one-dimensional branch metrics [for each possible value of said channel memory and] for each dimension of the multi-dimensional signal [trellis code];

selecting one of said precomputed one-dimensional branch metric based on at least one [past] decision[s] from at least one corresponding state[s]; and

10 combining said selected one-dimensional branch metrics to obtain a multi-dimensional branch metric.

14. (Amended) The method of claim 13, wherein said one-dimensional branch metric in the dimension  $j$  is precomputed by evaluating the following expressions:

15  $\tilde{\lambda}_{n,j}(z_{n,j}, a_{n,j}, \tilde{\alpha}_j) = (z_{n,j} - a_{n,j} + \tilde{u}_j(\tilde{\alpha}_j))^2$  and  $\tilde{u}_j(\tilde{\alpha}_j) = -\sum_{i=1}^L f_{i,j} \tilde{a}_{n-i,j}$ ,

wherein [ $\tilde{\alpha}_j = (\tilde{a}_{n-L,j}, \dots, \tilde{a}_{n-1,j})$ ] is a particular assignment for the channel state  $\alpha_j = (a_{n-L,j}, \dots, a_{n-1,j})$  in dimension  $j$ ;  $z_{n,j}$  is the detector input,  $a_{n,j}$  is channel symbol at time  $n$  and  $\tilde{\alpha}_j = (\tilde{a}_{n-L,j}, \dots, \tilde{a}_{n-1,j})$  is a sequence of channel symbols in dimension  $j$ ,  $L$  is a channel memory length,  $B$  is the number of dimensions, and  $\{f_{i,j}\}_{i \in [0,..,L], j \in [1,..,B]}$  are coefficients of the equivalent discrete-time channel 20 impulse response.

15. (Amended) The method of claim 13, wherein said selection of an appropriate one-dimensional branch metrics for further processing with a reduced complexity sequence estimator is given by:

25  $\lambda_{n,j}(z_{n,j}, a_{n,j}, \rho_n) = \text{sel}\{\Lambda_{n,j}(z_{n,j}, a_{n,j}) \hat{\alpha}_{n,j}(\rho_n)\}$

wherein  $\Lambda_{n,j}(z_{n,j}, a_{n,j})$  is the vector containing possible one-dimensional branch metrics  $\tilde{\lambda}_{n,j}(z_{n,j}, a_{n,j}, \tilde{\alpha}_j)$  [under input  $a_{n,j}$  for different one-dimensional channel assignments] for the same channel symbol  $a_{n,j}$ , but different channel symbol sequences  $\tilde{\alpha}_j$  and  $\hat{\alpha}_{n,j}(\rho_n)$  is the survivor sequence in dimension  $j$  leading to state  $\rho_n$ .

16. (Amended) The method of claim 13, wherein said [past] decision[s] from a corresponding state[s] are based on past symbols from a corresponding survivor path cell (SPC)] is a survivor symbol.

17. (Amended) The method of claim 13, wherein said [past] decision[s] from a corresponding state[s] are based on past decisions from a corresponding] is an add-compare-select [cell (ACSC)] decision.

10

18. (Amended) A method for processing a multi-dimensional [trellis code] signal [received from a dispersive channel] using a reduced complexity sequence estimation technique, [said channel having a channel memory,] said method comprising the steps of:

15 precomputing [a] one-dimensional branch metrics [for each possible value of said channel memory and] for each dimension of the multi-dimensional signal [trellis code];

combining said one-dimensional branch metrics into at least two-dimensional branch metrics; and

selecting one of said at least two-dimensional branch metrics based on at least one [past] decision[s] from at least one corresponding state[s].

20

19. (Amended) The method of claim 18, [wherein said one-dimensional branch metric in the dimension  $j$  is precomputed by evaluating the following expressions:

$$\tilde{\lambda}_{n,j}(z_{n,j}, a_{n,j}, \tilde{\alpha}_j) = (z_{n,j} - a_{n,j} + \tilde{u}_j(\tilde{\alpha}_j))^2 \text{ and } \tilde{u}_j(\tilde{\alpha}_j) = -\sum_{i=1}^L f_{i,j} \tilde{a}_{n-i,j},$$

wherein  $\tilde{\alpha}_j = (\tilde{a}_{n-L,j}, \dots, \tilde{a}_{n-1,j})$  is a particular assignment for the channel state  $\alpha_j = (a_{n-L,j}, \dots, a_{n-1,j})$  in dimension  $j$ ] wherein said one-dimensional branch metric in the dimension  $j$  is precomputed by evaluating the following expressions:

$$\tilde{\lambda}_{n,j}(z_{n,j}, a_{n,j}, \tilde{\alpha}_j) = (z_{n,j} - a_{n,j} + \tilde{u}_j(\tilde{\alpha}_j))^2 \text{ and } \tilde{u}_j(\tilde{\alpha}_j) = -\sum_{i=1}^L f_{i,j} \tilde{a}_{n-i,j},$$

wherein  $z_{n,j}$  is the detector input,  $a_{n,j}$  is channel symbol at time  $n$  and  $\tilde{\alpha}_j = (\tilde{a}_{n-L,j}, \dots, \tilde{a}_{n-1,j})$  is a sequence of channel symbols in dimension  $j$ ,  $L$  is a channel memory length,  $B$  is the number of dimensions, and  $\{f_{i,j}\}_{i \in [0, \dots, L], j \in [1, \dots, B]}$  are coefficients of the equivalent discrete-time channel

impulse response.

20. (Amended) The method of claim 18, wherein said selection of an appropriate at least two-dimensional branch metrics corresponding to a particular state and channel symbol [input] for further processing with a reduced complexity sequence estimator is based on the survivor symbols for said state and said at least two dimensions and said selection is performed among [all] said precomputed at least two-dimensional branch metrics for said state, [input] channel symbol and different previous channel symbol sequences [assignments for said dimensions].

10 21. (Amended) The method of claim 18, wherein said [past] decision[s] from a corresponding state[s] is a survivor symbol [are based on past symbols from a corresponding survivor path cell (SPC)].

15 22. (Amended) The method of claim 18, wherein said [past] decision[s] from a corresponding state[s] is an [are based on past decisions from a corresponding] add-compare-select [cell (ACSC)] decision.

20 23. (Unamended) The method of claim 18, further comprising the step of combining said selected at least two-dimensional branch metric to obtain a multi-dimensional branch metric.

24. (Amended) A method for processing a signal received from a [dispersive] channel using a reduced complexity sequence estimation technique, [said channel having a channel memory,] said method comprising the steps of:

25           prefiltering said signal to shorten a memory of said channel [memory];  
              precomputing branch metrics for [each] possible values of said shortened channel memory;  
              selecting one of said precomputed branch metrics based on [past] at least one decision[s] from at least one corresponding state[s]; and  
30           selecting a path having a best path metric for a given state.

25. (Amended) The method of claim 24, wherein said prefiltering step further comprises the step of processing less significant taps with a lower complexity cancellation algorithm that cancels the less significant taps using tentative decisions and processing more significant taps  
5 with a reduced state sequence estimation [(RSSE)] technique.

26. (Amended) The method according to claim 24, wherein said lower complexity cancellation algorithm is a decision feedback prefilter [(DFP)] technique.

10 27. (Unamended) The method according to claim 24, wherein said lower complexity cancellation algorithm utilizes a linear equalizer technique.

28. (Amended) The method according to claim 24, wherein said lower complexity cancellation algorithm is a soft decision feedback prefilter [(DFP)] technique.

15 29. (Unamended) The method according to claim 24, wherein said lower complexity cancellation algorithm reduces the intersymbol interference associated with said less significant taps.

20 30. (Unamended) The method according to claim 24, wherein said more significant taps comprise taps below a tap number, U, where U is a prescribed number less than L.

25 31. (Amended) The method according to claim 24, wherein said reduced complexity sequence estimation technique is a delayed decision-feedback sequence estimation [(DFSE)] technique.

32. (Amended) The method according to claim 24, wherein said reduced complexity sequence estimation technique is a parallel decision-feedback equalization [(PDFE)] technique.

30

33. (Amended) The method according to claim 24, wherein said reduced complexity sequence estimation technique is a reduced state sequence estimation [(RSSE)] technique.

5 34. (Unamended) The method according to claim 24, wherein said reduced complexity sequence estimation technique is an implementation of the Viterbi algorithm.

35. (Unamended) The method according to claim 24, wherein said reduced complexity sequence estimation technique is an implementation of the M algorithm.

10 36. (Amended) The method of claim 24, wherein said [past] decision[s] from a corresponding state[s] is a survivor symbol [are based on past symbols from a corresponding survivor path cell (SPC)].

15 37. (Amended) The method of claim 24, wherein said [past] decision[s] from a corresponding state[s] are based on past decisions from a corresponding] is an add-compare-select decision. [cell (ACSC).]

20 38. (Amended) A method for processing a signal received from a [dispersive] channel using a reduced complexity sequence estimation technique, [said channel having a channel memory,] said method comprising the steps of:

prefiltering said signal to shorten [said] a channel memory;  
precomputing a one-dimensional branch metric for [each] possible values of said shortened channel memory and for each dimension of the multi-dimensional signal [trellis code];  
combining said one-dimensional branch metric into at least two-dimensional branch  
25 metrics; and  
selecting one of said at least two-dimensional branch metrics based on [past] at least one decision[s] from at least one corresponding state[s].

39. (Cancelled)

40. (Cancelled)
41. (Cancelled)
- 5 42. (Cancelled)
43. (Cancelled)
44. (Cancelled)
- 10 45. (Cancelled)
46. (Cancelled)
- 15 47. (Amended) A reduced complexity sequence estimator [for processing a signal received from a dispersive channel having a channel memory,] comprising:  
a [look-ahead] branch metrics unit for precomputing branch metrics [for each possible value of said channel memory];  
a multiplexer for selecting one of said precomputed branch metrics based on at least one [past] decision[s] from at least one corresponding state[s]; and  
20 an add-compare-select unit for selecting a path having a best path metric for a given state.
48. (Amended) The reduced complexity sequence estimator of claim 47, wherein  
25 said [past] decision[s] from a corresponding state[s] is taken from the survivor memory unit. [are based on past symbols from a corresponding survivor path cell (SPC).]
49. (Amended) The reduced complexity sequence estimator of claim 47, wherein  
said [past] decision[s] from a corresponding state[s] is taken from the add-compare-select unit. [are  
30 based on past decisions from a corresponding add-compare-select cell (ACSC).]

50. (Amended) A reduced complexity sequence estimator for processing a multi-dimensional signal [received from a dispersive channel having a channel memory,] comprising:

5 a [look-ahead] branch metrics unit for precomputing [a] one-dimensional branch metrics [for each possible value of said channel memory and] for each dimension of the multi-dimensional trellis code;

10 a multiplexer for selecting one of said precomputed one-dimensional branch metric based on at least one [past] decision[s] from at least one corresponding state[s]; and

15 a multi-dimensional branch metric computation unit [cell] for computing a multi-dimensional branch metric based on [combining] said selected one-dimensional branch metrics [to obtain a multi-dimensional branch metric].

51. (Amended) The reduced complexity sequence estimator of claim 50, wherein said [past] decision[s] from a corresponding state[s] is available in the survivor memory unit. [are based on past symbols from a corresponding survivor path cell (SPC).]

15

52. (Amended) The reduced complexity sequence estimator of claim 50, wherein said [past] decision[s] from a corresponding state[s] is available in the add-compare-select unit. [are based on past decisions from a corresponding add-compare-select cell (ACSC).]

20

53. (Amended) A reduced complexity sequence estimator for processing a multi-dimensional signal [received from a dispersive channel having a channel memory,] comprising:

25 a [look-ahead] branch metrics unit for precomputing [a] one-dimensional branch metrics [for each possible value of said channel memory and] for each dimension of the multi-dimensional signal [trellis code];

means for combining said one-dimensional branch metric into at least two-dimensional branch metrics;

30 a multiplexer for selecting one of said at least two-dimensional branch metrics based on at least one [past] decision[s] from at least one corresponding state[s]; and

a multi-dimensional branch metric [cell] unit for combining said selected at least two-dimensional branch metric to obtain a multi-dimensional branch metric.

54. (Amended) The reduced complexity sequence estimator of claim 53, wherein said [past] decision[s] from a corresponding state[s are] is based on a survivor symbol [past symbols from] in a corresponding survivor path cell [(SPC)].

5

55. (Amended) The reduced complexity sequence estimator of claim 53, wherein said [past] decision[s] from a corresponding state[s are] is based on a [past] decision[s] from a corresponding add-compare-select cell [(ACSC)].

10 56. (Amended) A reduced complexity sequence estimator for processing a signal received from a [dispersive] channel [having a channel memory,] comprising:

a prefilter to shorten [said] a channel memory;  
a [look-ahead] branch metrics unit for precomputing branch metrics for [each] possible values of said channel memory;  
15 a multiplexer for selecting one of said precomputed branch metrics based on at least one [past] decision[s] from at least one corresponding state[s]; and  
an add-compare-select unit for selecting a path having a best path metric for a given state.

20 57. (Amended) The reduced complexity sequence estimator of claim 56, wherein said [past] decision[s] from a corresponding state[s are] is based on a survivor symbol in the survivor memory unit. [past symbols from a corresponding survivor path cell (SPC).]

25 58. (Amended) The reduced complexity sequence estimator of claim 56, wherein said [past] decision[s] from a corresponding state[s are] is based on an add-compare-select decision. [past decisions from a corresponding add-compare-select cell (ACSC).]

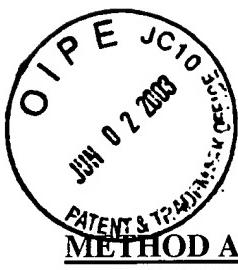
30 59. (Amended) A reduced complexity sequence estimator for processing a multi-dimensional signal received from [a dispersive] channel having a channel memory, comprising:

a prefilter to shorten [said] a channel memory;  
a [multi-dimensional look-ahead] branch metrics unit for precomputing [a] one-

dimensional branch metrics for [each] possible values of said shortened channel memory and for each dimension of the multi-dimensional signal [trellis code];

means for combining said one-dimensional branch metric into at least two-dimensional branch metrics; and

5 a multiplexer for selecting one of said at least two-dimensional branch metrics based on [past] at least one decision[s] from at least one corresponding state[s].



## Marked-Up Specification

# METHOD AND APPARATUS FOR SHORTENING THE CRITICAL PATH OF REDUCED COMPLEXITY SEQUENCE ESTIMATION TECHNIQUES

5

## Field of the Invention

The present invention relates generally to channel equalization and decoding techniques, and more particularly, to sequence estimation techniques with shorter critical paths.

## 10 Background of the Invention

The transmission rates for local area networks (LANs) that use twisted pair conductors have progressively increased from 10 Megabits-per-second (Mbps) to 1 Gigabit-per-second (Gbps). The Gigabit Ethernet 1000 Base-T standard, for example, operates at a clock rate of 125 MHz and uses category 5 cabling with four copper pairs to transmit 1 Gbps. Trellis-coded modulation (TCM) is employed by the transmitter, in a known manner, to achieve coding gain. The signals arriving at the receiver are typically corrupted by intersymbol interference (ISI), crosstalk, echo, and noise. A major challenge for 1000 Base-T receivers is to jointly equalize the channel and decode the corrupted trellis-coded signals at the demanded clock rate of 125 MHz, as the algorithms for joint equalization and decoding incorporate non-linear feedback loops that cannot be pipelined.

Data detection is often performed using maximum likelihood sequence estimation (MLSE), to produce the output symbols or bits. A maximum likelihood sequence estimator [(MLSE)] considers all possible sequences and determines which sequence was actually transmitted, in a known manner. The maximum likelihood sequence estimator [(MLSE)] is the optimum decoder and applies the well-known Viterbi algorithm to perform joint equalization and decoding. For a more detailed discussion of a Viterbi implementation of a maximum likelihood sequence estimator [(MLSE)], see Gerhard Fettweis and Heinrich Meyr, "High-Speed Parallel Viterbi Decoding Algorithm and VLSI-Architecture," IEEE Communication Magazine (May 1991), incorporated by reference herein.

30 In order to reduce the hardware complexity for the maximum likelihood sequence estimator [(MLSE)] that applies the Viterbi algorithm, a number of sub-optimal approaches, such as "reduced state sequence estimation (RSSE)" algorithms, have been proposed or suggested.

For a discussion of reduced state sequence estimation [(RSSE)] techniques, as well as the special cases of decision-feedback sequence estimation (DFSE) and parallel decision-feedback equalization (PDE) techniques, see, for example, P. R. Chevillat and E. Eleftheriou, "Decoding of Trellis-Encoded Signals in the Presence of Intersymbol Interference and Noise", IEEE Trans. Commun., vol. 37, 669-76, (July 1989), M. V. Eyuboglu and S. U. H. Qureshi, "Reduced-State Sequence Estimation For Coded Modulation On Intersymbol Interference Channels", IEEE JSAC, vol. 7, 989-95 (Aug. 1989), or A. Duel-Hallen and C. Heegard, "Delayed decision-feedback sequence estimation," IEEE Trans. Commun., vol. 37, pp. 428-436, May 1989, each incorporated by reference herein. For a discussion of the M algorithm, see, for example, E. F. Haratsch, "High-Speed VLSI Implementation of Reduced Complexity Sequence Estimation Algorithms With Application to Gigabit Ethernet 1000 Base-T," Int'l Symposium on VLSI Technology, Systems, and Applications, Taipei (Jun. 1999), incorporated by reference herein.

Generally, reduced state sequence estimation [(RSSE)] techniques reduce the complexity of the maximum likelihood sequence estimators [(MLSE)] by merging several states. The reduced state sequence estimation [RSSE] technique incorporates non-linear feedback loops that cannot be pipelined. The critical path associated with these feedback loops is the limiting factor for high-speed implementations.

United States Patent Application Serial Number 09/326,785, filed June 4, 1999 and entitled "Method and Apparatus for Reducing the Computational Complexity and Relaxing the Critical Path of Reduced State Sequence Estimation [(RSSE)] Techniques," incorporated by reference herein, discloses a reduced state sequence estimation [(RSSE)] algorithm that reduces the hardware complexity of reduced state sequence estimation [RSSE] techniques for a given number of states and also relaxes the critical path problem. While the disclosed reduced state sequence estimation [RSSE] algorithm exhibits significantly improved processing time, additional processing gains are needed for many high-speed applications. A need therefore exists for a reduced state sequence estimation [(RSSE)] algorithm with improved processing time. Yet another need exists for a reduced state sequence estimation [(RSSE)] algorithm that is better suited for a high-speed implementation using very large scale integration (VLSI) techniques.

## Summary of the Invention

Generally, a method and apparatus are disclosed for improving the processing time of the reduced complexity sequence estimation techniques, such as the reduced state sequence estimation [RSSE] technique, for a given number of states. According to one feature of the invention, the possible values for the branch metrics in the reduced state sequence estimation [(RSSE)] technique are precomputed in a look-ahead fashion to permit pipelining and the shortening of the critical path. Thus, the present invention provides a delay that is similar to a traditional optimum Viterbi decoder. Precomputing the branch metrics for all possible symbol combinations in the channel memory in accordance with the present invention makes it possible to remove the branch metrics unit (BMU) and decision-feedback unit (DFU) from the feedback loop, thereby reducing the critical path. In the illustrative implementation, the functions of the branch metrics unit [(BMU)] and decision-feedback unit [(DFU)] are performed by a look-ahead branch metrics unit (LABMU) and an intersymbol interference canceller (ISIC) that are removed from the critical path.

A reduced state sequence estimator [(RSSE)] is disclosed that provides a look-ahead branch metrics unit [(LABMU)] to precompute the branch metrics for all possible values for the channel memory. At the beginning of each decoding cycle, a set of multiplexers (MUXs) select the appropriate branch metrics based on the survivor symbols in the corresponding survivor path cells (SPCs), which are then sent to an add-compare-select unit (ACSU). The critical path now comprises one multiplexer [MUX], add-compare-select unit [ACSC] and survivor path cell [SPC]. The disclosed reduced state sequence estimator [RSSE] can be utilized for both one-dimensional and multi-dimensional trellis codes.

For multi-dimensional trellis codes where the precomputation of multi-dimensional branch metrics becomes computationally too expensive, a modified reduced state sequence estimator [RSSE] is disclosed to reduce the computational load. The metrics for each dimension of the multi-dimensional trellis code are precomputed separately. The appropriate one-dimensional branch metrics are then selected based on the corresponding survivor symbols in the corresponding survivor path cell [(SPC)] for that dimension. A multi-dimensional branch metrics unit then combines the selected one-dimensional branch metrics to form the multi-dimensional branch metrics. According to another aspect of the invention, prefiltering techniques are used to reduce the computational complexity by shortening the channel memory.

An example is provided of a specific implementation for a 1000 Base-T Gigabit Ethernet implementation that truncates the postcursor channel memory length to one.

A novel memory-partitioned survivor memory architecture for the survivor memory units in the survivor path cell is also disclosed. In order to prevent latency for the storage of the survivor symbols, which are required in the decision feedback unit [(DFU)] or the multiplexer unit [(MUXU)] with zero latency, a hybrid survivor memory arrangement is disclosed for reduced state sequence estimation [(RSSE)]. In a[n] reduced state sequence estimator [RSSE] implementation for a channel memory of length  $L$ , the survivor symbols corresponding to the  $L$  past decoding cycles are utilized (i) for intersymbol interference cancellation in the decision-feedback units [(DFU)] of a conventional reduced state sequence estimator [RSSE], and (ii) for the selection of branch metrics in the multiplexers [(MUXU)] in an reduced state sequence estimator [RSSE] according to the present invention. The present invention stores the survivors corresponding to the  $L$  past decoding cycles in a register exchange architecture (REA), and survivors corresponding to later decoding cycles are stored in a trace-back architecture (TBA) or register exchange architecture [(REA)]. Before symbols are moved from the register exchange architecture [(REA)] to the trace-back architecture [(TBA)], they are mapped to information bits to reduce the word size. In a 1000 Base-T implementation, the register exchange architecture [(REA)] is used for the entire survivor memory, as the latency introduced by the trace-back architecture [(TBA)] in the second memory partition would lead to a violation of the tight latency budget specified for the receiver in the 1000 Base-T standard.

#### Brief Description of the Drawings

FIG. 1 illustrates an equivalent discrete time model of a conventional trellis coded communications system;

FIG. 2 illustrates a conventional implementation of the Viterbi algorithm;

FIG. 3 illustrates the architecture for conventional implementation of an reduced state sequence estimator [(RSSE)];

FIG. 4 illustrates the architecture of a reduced state sequence estimator [(RSSE)] with precomputation of branch metrics in accordance with the present invention;

FIG. 5 illustrates the use of multi-dimensional trellis coded modulation for a multidimensional channel;

FIG. 6 illustrates the architecture for a one-dimensional precomputation for a multi-dimensional reduced state sequence estimator [(RSSE)] in accordance with the present invention;

5 FIG. 7 illustrates the architecture of a reduced state sequence estimator [(RSSE)] that utilizes prefILTERING techniques in accordance with the present invention to shorten the channel memory;

FIG. 8 illustrates a decision-feedback prefilter for a 1000 Base-T Gigabit Ethernet implementation that truncates the postcursor channel memory length from fourteen to one;

10 FIG. 9 illustrates the look-ahead computation of 1D branch metrics by one of the 1D-LABMU units of FIG. 6 for the 1000 Base-T Gigabit Ethernet implementation;

FIG. 10 illustrates the selection of the 1D branch metrics by the multiplexer of FIG. 6 for the 1000 Base-T Gigabit Ethernet implementation; and

FIG. 11 illustrates a novel memory-partitioned register exchange network (SPC-n) for state one for the 1000 Base-T Gigabit Ethernet implementation.

15

### **Detailed Description**

As previously indicated, the processing speed for reduced complexity sequence estimation techniques, such as reduced state sequence estimation [(RSSE)], is limited by a recursive feedback loop. According to one feature of the present invention, the processing speed for such reduced state sequence estimation [(RSSE)] techniques is improved by precomputing the branch metrics in a look-ahead fashion. The precomputation of the branch metrics shortens the critical path, such that the delay is of the same order as in a traditional Viterbi decoder. According to another feature of the present invention, the computational load of the precomputations is significantly reduced for multi-dimensional trellis codes. Prefiltering can reduce the computational complexity by shortening the channel memory. The reduced state sequence estimation [RSSE] techniques of the present invention allow the implementation of reduced state sequence estimation [RSSE] for high-speed communications systems, such as the Gigabit Ethernet 1000 Base-T standard.

### **TRELLIS-CODED MODULATION**

30 As previously indicated, reduced state sequence estimation [RSSE] techniques reduce the computational complexity of the Viterbi algorithm, when the reduced state sequence

estimation [RSSE] techniques are used to equalize uncoded signals or jointly decode and equalize signals, which have been coded, using trellis-coded modulation [(TCM)]. While the present invention is illustrated herein using decoding and equalization of trellis coded signals, the present invention also applies to the equalization of uncoded signals, as would be apparent to a person of ordinary skill in the art. Trellis-coded modulation [TCM] is a combined coding and modulation scheme for band-limited channels. For a more detailed discussion of trellis-coded modulation [(TCM)], see, for example, G. Ungerboeck, "Trellis-Coded Modulation With Redundant Signal Sets," IEEE Comm., Vol. 25, No. 2, 5-21 (Feb. 1987), incorporated by reference herein. FIG. 1 illustrates the equivalent discrete time model of a trellis coded communications system.

As shown in FIG. 1, information symbols  $x_n$  consisting of  $m$  bits are fed into a trellis-coded modulation [(TCM)] encoder 110. The rate  $m'/(m'+1)$  encoder 110 operates on  $m'$  input bits and produces  $m'+1$  encoded bits, which are used to select one of the  $2^{m'+1}$  subsets (each of size  $2^{m-m'}$ ) from the employed signal constellation of size  $2^{m+1}$ , while the uncoded bits are used to select one symbol  $a_n$  within the chosen subset. In the illustrative implementation,  $Z$ -level pulse amplitude modulation ( $Z$ -PAM) is used as the modulation scheme for the symbols  $a_n$ . The techniques of the present invention, however, can be applied to other modulation schemes such as PSK or QAM, as would be apparent to a person of ordinary skill in the art. The selected symbol  $a_n$  is sent over the equivalent discrete-time channel. Assuming a one-dimensional channel, the channel output  $z_n$  at time instant  $n$  is given by:

$$z_n = q_n + w_n = \sum_{i=0}^L f_i \cdot a_{n-i} + w_n, \quad (1)$$

where  $q_n$  is the signal corrupted by intersymbol interference [ISI],  $\{f_i\}$ ,  $i \in [0..L]$  are the coefficients of the equivalent discrete-time channel impulse response ( $f_0 = 1$  is assumed without loss of generality),  $L$  is the length of the channel memory, and  $\{w_n\}$  represents white Gaussian noise with zero mean and variance  $\sigma^2$ .

The concatenation of the trellis coder and channel defines a combined code and channel state, which is given by

$$\xi_n = (\mu_n; a_{n-L}, \dots, a_{n-1}), \quad (2)$$

where  $\mu_n$  is the code state and  $\alpha_n = (a_{n-L}, \dots, a_{n-1})$  is the channel state at time  $n$ . The optimum decoder for the received signal is the maximum likelihood sequence estimator [(MLSE)] that applies the Viterbi algorithm to the super trellis defined by the combined code and channel state. The computation and storage requirements of the Viterbi algorithm are proportional to the number of states. The number of states of the super trellis is given by:

$$T = S \times 2^{mL}, \quad (3)$$

where  $S$  is the number of code states.

The Viterbi algorithm searches for the most likely data sequence by efficiently accumulating the path metrics for all states. The branch metric for a transition from state  $\xi_n$  under input  $a_n$  is given by:

$$\lambda(z_n, a_n, \xi_n) = \left( z_n - a_n - \sum_{i=1}^L f_i a_{n-i} \right)^2. \quad (4)$$

Among all paths entering state  $\xi_{n+1}$  from predecessor states  $\{\xi_n\}$ , the most likely path is chosen according to the following path metric calculation, which is commonly referred to as add-compare-select (ACS) calculation:

$$\Gamma(\xi_{n+1}) = \min_{\{\xi_n\} \rightarrow \xi_{n+1}} (\Gamma(\xi_n) + \lambda(z_n, a_n, \xi_n)). \quad (5)$$

An implementation of the Viterbi algorithm is shown in FIG. 2. The Viterbi implementation 200 shown in FIG. 2 comprises of a main components branch metric unit [(BMU)] 210, an add-compare-select unit [(ACSU)] 220 and a survivor memory unit (SMU) 230. The branch metric unit [(BMU)] 210 calculates the metrics for the state transitions according to equation (4). The add-compare-select [ACS] unit (ACSU) 220 evaluates equation (5) for each state, and the survivor memory unit [(SMU)] 230 keeps track of the surviving paths. The data flow in the branch metric unit [BMU] 210 and survivor memory unit [SMU] 230 is strictly feed-forward and can be pipelined at any level to increase throughput. The bottleneck for high-speed processing is the add-compare-select unit [(ACSU)] 220, as the recursion in the add-compare-select [ACS] operation in equation (5) demands that a decision is made before the next step of the trellis is decoded.

Reduced state sequence estimation [RSSE] techniques reduce the complexity of the maximum likelihood sequence estimator [(MLSE)] by truncating the channel memory such that only the first  $\kappa$  of the  $L$  channel coefficients  $\{f_i\}$ ,  $i \in [1, \dots, L]$ , are taken into account for the

trellis. See, A. Duel-Hallen and C. Heegard, "Delayed decision-feedback sequence estimation," IEEE Trans. Commun., vol. 37, pp. 428-436, May 1989, incorporated by reference herein. In addition, the set partitioning principles described in P. R. Chevillat and E. Eleftheriou, "Decoding of Trellis-Encoded Signals in the Presence of Intersymbol Interference and Noise," 5 IEEE Trans. Comm., Vol. 37, 669-676 (Jul. 1989) and M.V. Eyuboglu and S. U. Qureshi, "Reduced-State Sequence Estimation for Coded Modulation on Intersymbol Interference Channels," IEEE JSAC, Vol. 7, 989-995 (Aug. 1989), each incorporated by reference herein, are applied to the signal alphabet. The reduced combined channel and code state is given in reduced state sequence estimation [RSSE] by

$$10 \quad \rho_n = (\mu_n; J_{n-K}, \dots, J_{n-1}), \quad (6)$$

where  $J_{n-i}$  is the subset the data symbol  $a_{n-i}$  belongs to. The number of different subsets  $J_{n-i}$  is given by  $2^{m_i}$ , where  $m_i$  defines the depth of subset partitioning at time instant  $n-i$ . It is required that

$$m' \leq m_K \leq m_{K-1} \leq \dots \leq m_1 \leq m. \quad (7)$$

15 The number of states in the reduced super trellis is given as follows:

$$R = S \times 2^{m_K + \dots + m_1}. \quad (8)$$

In reduced state sequence estimation [RSSE], the branch metric for reduced state  $\rho_n$  under input  $a_n$  takes the modified form:

$$20 \quad \lambda_n(z_n, a_n, \rho_n) = (z_n - a_n + u_n(\rho_n))^2, \quad (9)$$

where:

$$u_n(\rho_n) = -\sum_{i=1}^L f_i \hat{a}_{n-i}(\rho_n) \quad (10)$$

$\hat{a}_n(\rho_n) = (\hat{a}_{n-L}(\rho_n), \dots, \hat{a}_{n-1}(\rho_n))$  is the survivor sequence leading to the reduced state  $\rho_n$  and  $\hat{a}_{n-i}(\rho_n)$  is the associated survivor symbol at time instant  $n-i$ . In equation (10), an intersymbol interference [ISI] estimate  $u(\rho_n)$  is calculated for state  $\rho_n$  by taking the data symbols associated 25 with the path history of state  $\rho_n$  as tentative decisions. The best path metric for state  $\rho_{n+1}$  is obtained by evaluating

$$\Gamma(\rho_{n+1}) = \min_{\{\rho_n \rightarrow \rho_{n+1}\}} (\Gamma(\rho_n) + \lambda_n(z_n, a_n, \rho_n)). \quad (11)$$

Reduced state sequence estimation [RSSE] can be viewed as a sub-optimum trellis decoding algorithm where each state uses decision-feedback from its own survivor path to account for the intersymbol interference [(ISI)] not considered in the reduced trellis.

FIG. 3 illustrates the architecture for the implementation of reduced state sequence estimation [RSSE]. As shown in FIG. 3, the decision-feedback cells (DFC) in the decision-feedback unit [(DFU)] 340 calculate  $R$  intersymbol interference [ISI] estimates by considering the survivors in the corresponding survivor path cell (SPC) of the survivor memory unit [SMU] 330 according to equation (10). Each branch metric cell (BMC) in the branch metric unit [BMU] 310 computes the metrics for the  $b = 2^m'$  transitions leaving one state. For each state, 10 the best path selection is performed in the add-compare-select [ACS] cell (ACSC) according to equation (11). In contrast to Viterbi decoding, the decision-feedback cell [DFC], branch metric cell [BMC], and survivor path [SPC] cells are in the critical loop in addition to the add-compare-select [ACSC] cell. The techniques for parallel processing of the Viterbi algorithm exploit the fact that the branch metric computation in equation (4) does not depend on the decision of the 15 add-compare-select [ACS] function in equation (5). Thus, branch metrics can be calculated for  $k$  trellis steps in a look-ahead fashion to obtain a  $k$ -fold increase of the throughput. See G. Fettweis and H. Meyr, "High-Speed Viterbi Processor: A Systolic Array Solution," IEEE JSAC, Vol. 8, 1520-1534 (Oct. 1990) or United States Patent Number 5,042,036, incorporated by reference herein. However, for reduced state sequence estimation [RSSE] techniques, the branch 20 metric computation in equation (9) depends on the decision of the add-compare-select [ACSC] cell in the add-compare-select unit [ACSU] 320, which evaluates equation (11), in the previous symbol period, as the surviving symbols in the survivor path cell [SPC] of the survivor memory unit [SMU] 330 are needed for the decision-feedback computations in equation (10). Thus, the block processing techniques described in G. Fettweis and H. Meyr, referenced above, cannot be 25 applied to speed up the processing of reduced state sequence estimation [RSSE].

#### PRECOMPUTATION OF BRANCH METRICS

The critical path in reduced state sequence estimation [RSSE] involves more operations than in the Viterbi algorithm. In particular, the branch metric computations in the branch metric cell [BMC] can be very expensive in terms of processing time, as euclidean 30 distances have to be obtained by either squaring or performing a table-lookup to achieve good coding gain performance. Also, the evaluation of equation (10) in the decision-feedback cell

[DFC] 340-n may have a significant contribution to the critical path. Precomputing all branch metrics for all possible symbol combinations in the channel memory in accordance with the present invention makes it possible to remove the branch metric unit [BMU] 310 and decision-feedback unit [DFU] 340 from the feedback loop. This potentially allows for a significant  
5 reduction of the critical path in reduced state sequence estimation [RSSE].

In principle, the channel state  $\alpha_n = (a_{n-L}, \dots, a_{n-1})$  can take  $U = (2^{m+1})^L$  different values. The intersymbol interference [(ISI)] estimates for a particular channel assignment  $\tilde{\alpha} = (\tilde{a}_{n-L}, \dots, \tilde{a}_{n-1})$  can be obtained by evaluating the following equation:

$$\tilde{u}(\tilde{\alpha}) = -\sum_{i=1}^L f_i \tilde{a}_{n-i}. \quad (12)$$

10 It is noted that equation (12) does not depend on the time  $n$  and is thus a constant for a particular channel assignment  $\tilde{\alpha}$ . The speculative branch metric for a transition from channel assignment  $\tilde{\alpha}$  under input  $a_n$  is then given by

$$\tilde{\lambda}_n(z_n, a_n, \tilde{\alpha}) = (z_n - a_n + \tilde{u}(\tilde{\alpha}))^2. \quad (13)$$

15 The trellis coder 100 in FIG. 1 defines  $2b = 2^{m'+1}$  different subsets. Assuming that in the case of parallel transitions the best representative in a subset is obtained by slicing, a maximum of  $M = 2b \times U = 2^{m'+1} \times 2^{(m+1)L}$  different branch metrics  $\tilde{\lambda}_n(z_n, a_n, \tilde{\alpha})$  are possible and have to be precomputed. The trellis coder shown in FIG. 1 may not allow all symbol combinations in the channel memory  $\alpha_n$ . Therefore, the number of branch metrics which have to be precomputed might be less than  $M$ . The actual number of branch metrics which have to be precomputed  
20 should be determined from the reduced super trellis.

For the add-compare-select cell [(ACSC)] 320-n, the appropriate branch metrics  $\lambda_n(z_n, a_n, \rho_n)$  among all precomputed branch metrics  $\tilde{\lambda}_n(z_n, a_n, \tilde{\alpha})$  are selected by using the survivor path  $\hat{\alpha}_n(\rho_n)$ :

$$\lambda_n(z_n, a_n, \rho_n) = \text{sel}\{\Lambda_n(z_n, a_n, \rho_n), \hat{\alpha}_n(\rho_n)\}. \quad (14)$$

25 In equation (14),  $\Lambda_n(z_n, a_n, \rho_n)$  is a vector containing the  $2^{mL}$  branch metrics  $\tilde{\lambda}_n(z_n, a_n, \tilde{\alpha})$ , which can occur for a transition from state  $\rho_n$  under input  $a_n$  for different channel assignments  $\tilde{\alpha}$ . The selector function in equation (14) can be implemented with a  $2^{mL}$  to 1 multiplexer.

It is noted that equations (12) and (13) are both independent from the decision in the recursive add-compare-select [ACS] function in equation (11). Thus, the precomputations in equations (12) and (13) are strictly feed-forward and can be pipelined at any level. Only the selection function in equation (14) lies in the critical path in addition to the add-compare-select cell [(ACSC)] and survivor path cell [(SPC)].

The architecture of  $a[n]$  reduced state sequence estimation [RSSE] 400 with precomputation of branch metrics in accordance with the present invention is shown in FIG. 4. The intersymbol interference [(ISI)] canceller (ISIC) 420 calculates all  $U$  values which can occur for  $\tilde{u}(\tilde{\alpha})$ . Each of these  $U$  values is used by a corresponding look-ahead branch metric cell [BMC (LABMC)] 410-n to calculate  $2b$  speculative branch metrics  $\tilde{\lambda}_n(z_n, a_n, \tilde{\alpha})$ . All the  $M = 2bU$  branch metrics precomputed in the look-ahead branch metrics unit [BMU (LABMU)] 410 are then sent to the multiplexer [MUX] unit (MUXU) 430. Then, at the beginning of each decoding cycle, each multiplexer [(MUX)] 430-n in the multiplexer [MUX] unit [(MUXU)] 430 selects the appropriate branch metrics based on the survivor symbols in the corresponding survivor path cell [SPC] 450-n, which are then sent to the add-compare-select unit [ACSU] 440. Each multiplexer [(MUX)] 430-n in the multiplexer [MUX] unit [(MUXU)] 430 takes  $L$  past symbols from the corresponding survivor path cell [(SPC)] 450-n. The add-compare-select unit [ACSU] 440 and survivor memory unit [SMU] 450 may be embodied as in the conventional reduced state sequence estimation [RSSE] 300 of FIG. 3. The output of the look-ahead branch metrics unit [LABMU] 410 is placed in a pipeline register 460. The critical path now comprises of just the multiplexer [MUX] 430, add-compare-select [ACSC] cell 440-n, and survivor path cell [SPC] PC 450-n. The multiplexer [MUX] 430 selects a branch metric in accordance with equation (14) dependent on the symbols in the survivor path cell [SPC] 450-n. Although the number of precomputed branch metrics increases exponentially with the channel memory  $L$  and the number 25 of information bits  $m$ , this technique is feasible for small  $m$  (corresponding to small symbol constellation sizes) and short  $L$ .

#### PRECOMPUTATION FOR MULTIDIMENSIONAL TRELLIS CODES

Significant coding gains for large signal constellations can be achieved with multidimensional trellis-coded modulation [TCM]. FIG. 5 illustrates the use of multidimensional trellis coded modulation for a multidimensional channel. The  $B$ -dimensional symbol  $\bar{a}_n = (a_{n,1}, \dots, a_{n,B})$ , where  $\bar{a}_n$  is a vector, is sent over the  $B$ -dimensional channel with the

channel coefficients  $\{f_{i,j}\}$ ,  $i \in [0, \dots, L]$ ,  $j \in [1, \dots, B]$  such that the channel output  $\bar{z}_n = (z_{n,1}, \dots, z_{n,B})$ , is a vector given as

$$z_{n,j} = \sum_{i=0}^L f_{i,j} \cdot a_{n-i,j} + w_{n,j}, \quad j \in [1, \dots, B], \quad (15)$$

where  $\{w_{n,j}\}$ ,  $j \in [1, \dots, B]$  are  $B$  uncorrelated independent white Gaussian noise sources.

5 is considered as the transmission scheme for each channel. The following results are valid for other modulation schemes as well. Such an equivalent discrete time channel can be found for example in Gigabit Ethernet 1000 Base-T over copper, where  $B = 4$ ,  $m = 8$ ,  $m' = 2$ ,  $S = 8$ ,  $Z = 5$ . See K. Azadet, "Gigabit Ethernet Over Unshielded Twisted Pair Cables," Int'l Symposium on VLSI Technology, Systems, and Applications, Taipei (Jun. 1999), incorporated by reference herein.

10 As the complexity for the precomputation of branch metrics grows exponentially with the number of information bits  $m$ , there might be cases where the precomputation of multi-dimensional branch metrics as shown in FIG. 4 might be too computationally expensive for large signal constellation sizes. However, performing precomputations of the branch metrics only for the one-dimensional components of the code can significantly reduce the complexity.

15 The 1-dimensional branch metric in the dimension  $j$  is precomputed by evaluating the following expressions:

$$\tilde{\lambda}_{n,j}(z_{n,j}, a_{n,j}, \tilde{\alpha}_j) = (z_{n,j} - a_{n,j} + \tilde{u}_j(\tilde{\alpha}_j))^2, \quad (16)$$

$$\tilde{u}_j(\tilde{\alpha}_j) = -\sum_{i=1}^L f_{i,j} \tilde{a}_{n-i,j}, \quad (17)$$

where  $\tilde{\alpha}_j = (\tilde{a}_{n-L,j}, \dots, \tilde{a}_{n-1,j})$  is a particular assignment for the channel state  $\alpha_j = (a_{n-L,j}, \dots, a_{n-1,j})$  in dimension  $j$ .

20 There are  $V = Z^L$  possible 1-dimensional channel assignments  $\tilde{\alpha}_j$ . For a given channel assignment  $\tilde{\alpha}_j$ ,  $C$  inputs  $a_{n,j}$  have to be considered to calculate all possible 1-dimensional branch metrics  $\tilde{\lambda}_{n,j}(z_{n,j}, a_{n,j}, \tilde{\alpha}_j)$ , where  $C$ ,  $C \leq Z$  is the number of 1-dimensional subsets. Each of these  $C$  inputs  $a_{n,j}$  corresponds to the point in the corresponding subset to which  $(z_{n,j})$  has been sliced to after the cancellation of the intersymbol interference according to equations (16) and (17). Consequently, considering all  $B$  dimensions, a total  $N = B \times C \times V$  1-dimensional branch metrics have to be precomputed. This can be considerably less than the number of precomputations necessary for multidimensional precomputations as discussed above

in the section entitled "Precomputation of Branch Metrics." In the case of the Gigabit Ethernet 1000 Base-T, with  $C=2$ ,  $L=1$ , and  $Z=5$  1-dimensional precomputation yields a total of  $4 \times 2 \times 5 = 40$  1-dimensional branch metric computations, whereas multi-dimensional precomputation results in  $2^3 \times 2^9 = 4096$  4-dimensional branch metric computations.

5 The selection of the appropriate 1-dimensional branch metrics for further processing in reduced state sequence estimation [RSSE] is given by:

$$\lambda_{n,j}(z_{n,j}, a_{n,j}, \rho_n) = \text{sel}\{\Lambda_{n,j}(z_{n,j}, a_{n,j}) \hat{\alpha}_{n,j}(\rho_n)\} \quad (18)$$

where  $\Lambda_{n,j}(z_{n,j}, a_{n,j})$  is the vector containing all  $V$  possible 1-dimensional branch metrics  $\tilde{\lambda}_{n,j}(z_{n,j}, a_{n,j}, \tilde{\alpha}_j)$  under input  $a_{n,j}$  for different one-dimensional channel assignments  $\tilde{\alpha}_j$  and 10  $\hat{\alpha}_{n,j}(\rho_n)$  is the survivor sequence in dimension  $j$  leading to state  $\rho_n$ . This can be implemented using a  $V$  to 1 multiplexer [MUX] compared to the  $2^{mL}$  to 1 multiplexer [MUX] needed for multi-dimensional precomputation (e.g., in the 1000 Base-T example above, 5 to 1 multiplexers [MUXs] are required c.f. to 256 to 1 MUXs). After the appropriate 1D branch metrics have been selected, the multidimensional branch metric is given as

$$15 \quad \lambda_n(z_n, a_n, \rho_n) = \sum_{j=1}^B \lambda_{n,j}(z_{n,j}, a_{n,j}, \rho_n) \quad (19).$$

FIG. 6 illustrates the architecture 600 for 1-dimensional precomputation for multi-dimensional reduced state sequence estimation [RSSE]. Each 1D-ISIC 620-n calculates the  $V$  intersymbol interference [ISI] cancellation terms  $\tilde{u}_j(\tilde{\alpha}_j)$ . For each of these  $\tilde{u}_j(\tilde{\alpha}_j)$ , the corresponding 1D-LABMC 610-n precomputes  $C$  one-dimensional branch metrics per channel 20 assignment and dimension in the 1D-LABMU 610. The multiplexer unit [MUXU] 630 selects for each state the appropriate one-dimensional branch metrics dependent on the survivor symbols in the SPC 660-n. Each multi-dimensional branch metric cell [BMC (MD-BMC)] 640-n calculates the multi-dimensional branch metrics by using the selected 1-dimensional branch metrics. The critical path now comprises one multiplexer [MUX] 630, multi-dimensional branch 25 metric cell [MD-BMC] 640, add-compare-select cell [ACSC] 650 and survivor path cell [SPC] 660. The multi-dimensional branch metric cell [MD-BMC] 640 performs  $B-1$  additions and consequently has a minor contribution to the overall critical path, as the number of dimensions  $B$  is typically low.

## PREFILTERING

It has been shown that the complexity for the precomputation of branch metrics increases exponentially with the channel memory  $L$ . However, using the prefilter 710, shown in FIG. 7, can shorten the channel memory. As the equivalent discrete time channel after a 5 whitened matched filter is minimum-phase, the channel memory can be truncated with a decision feedback prefilter (DFP) to low values of  $L$  without significant performance loss for reduced state sequence estimation [RSSE], as described in E. F. Haratsch, "High-Speed VLSI Implementation of Reduced Complexity Sequence Estimation Algorithms With Application to Gigabit Ethernet 1000 Base-T," Int'l Symposium on VLSI Technology, Systems, and 10 Applications, Taipei (Jun. 1999) and United States Patent Application Serial Number 09/326,785, filed June 4, 1999 and entitled "Method and Apparatus for Reducing the Computational Complexity and Relaxing the Critical Path of Reduced State Sequence Estimation (RSSE) Techniques," each incorporated by reference herein. Alternatively, the prefilter 710 could be implemented as a linear filter, such as those described in D.D. Falconer and F.R. 15 Magee, "Adaptive Channel Memory Truncation for Maximum-Likelihood Sequence Estimation," The Bell Systems Technical Journal, Vol. 52, No. 9, 1541-62 (Nov. 1973), incorporated by reference herein.

Thus, for channels with large channel memories where the precomputation of branch metrics is too expensive, a prefilter could be used to truncate the channel memory such 20 that precomputation becomes feasible.

### 1000-BASE T GIGABIT ETHERNET EXAMPLE

The following is an example of a specific implementation for a 1000 Base-T Gigabit Ethernet receiver. For a detailed discussion of the 1000 Base-T Gigabit Ethernet standard and related terminology and computations used herein, see, for example, M. Hatamian 25 et al., "Design considerations for Gigabit Ethernet 1000 Base-T twisted pair transceivers," Proc. CICC, Santa Clara, CA, pp. 335-342, May 1998, incorporated by reference herein.

A decision-feedback prefilter for the 1000 Base-T Gigabit Ethernet implementation is shown in FIG. 8. The look-ahead computation of 1D branch metrics by one of the 1D-LABMU units of FIG. 6 for the 1000 Base-T Gigabit Ethernet implementation is shown 30 in FIG. 9. FIG. 10 illustrates the selection of the 1D branch metrics by the multiplexer of FIG. 6 for the 1000 Base-T Gigabit Ethernet implementation. Finally, FIG. 11 illustrates the register

exchange network (SPC n) for state one for the 1000 Base-T Gigabit Ethernet implementation, where an illustrative merge depth of 14 is utilized for the survivor memory unit [SMU].

#### Decision-Feedback Prefilter

A decision-feedback prefILTER 800 that truncates the postcursor memory length on wire pair  $j$  from fourteen to one is shown in FIG. 8. The decision-feedback prefILTER 800 resembles the structure of a decision-feedback equalizer (DFE) as it uses tentative decisions obtained by its own slicer to remove the tail of the postcursor channel impulse response.

#### Precomputation of 1D branch metrics

As the effective postcursor channel memory is one after the decision-feedback prefILTER 800, the computational complexity for look-ahead precomputations of 1D branch metrics on each wire pair is modest. The speculative 1D branch metric for wire pair  $j$  under the assumption that the channel memory contains  $\tilde{a}_{n-1,j}$  is

$$\tilde{\lambda}_{n,j}(y_{n,j}, a_{n,j}, \tilde{a}_{n-1,j}) = (y_{n,j} - a_{n,j} - f_{l,j}\tilde{a}_{n-1,j})^2. \quad (20)$$

As there are 5 possible values for  $\tilde{a}_{n,j}$ , and as  $y_{n,j}$  after removal of intersymbol interference has to be sliced to the closest representative of both 1D subsets  $A$  as well as  $B$ , a total of 10 1D branch metrics have to be precomputed per wire pair. This is shown in FIG. 9, where the slicers 910-n calculate the difference to the closest point in 1D subset  $A$  or  $B$ . There is one clock cycle time for one addition, slicing, and squaring. It should be noted that the computational complexity of precomputing branch metrics increases exponentially with the channel memory. If the channel memory were two, 50 1D branch metrics would have to be precomputed per wire pair, and for a channel memory of three this number would increase to 250.

#### Selection of 1D Branch Metrics

The multiplexer unit [MUXU] 630 selects for each wire pair  $j$  and code state  $\rho_n$  the appropriate 1D branch metrics corresponding to subsets  $A$  and  $B$  based on the past survivor symbol  $\hat{a}_{n-i,j}(\rho_n)$ . This is done with 5:1 multiplexers [MUXs] 1010 as shown in FIG. 10. In total, 64 such multiplexers are needed.

#### Computation of 4D Branch Metrics

The 4D-BMU 640 adds up the 1D branch metrics to calculate the 4D branch metrics corresponding to state transitions in the trellis. The 4D-BMU 640 is in the critical loop. Bringing the 4D-BMU 640 out of the critical loop by look-ahead precomputations of 4D branch

metrics would be impractical in terms of computational complexity, as shown in the example discussed above in the section entitled "Precomputation of Multi-Dimensional Trellis Codes." It can be easily seen that there are too many possibilities, which must be considered.

#### Add-Compare-Select

5 For each state, a 4-way add-compare-select [ACS] has to be performed. To speed up the processing, the architecture proposed in P.J. Black and T.H. Meng, "A 140-Mb/s, 32-state, radix-4 Viterbi decoder," IEEE JSSC, vol. 27, pp. 1877-1885, Dec. 1992, has been chosen, where the minimum path metric among the 4 candidates is selected by 6 comparisons in parallel. State metric normalization is done using modulo arithmetic, See, A.P. Hekstra, "An Alternative  
10 To Metric Rescaling In Viterbi Decoders", IEEE Trans. Commun., vol. 37, pp. 1220-1222, Nov. 1989.

#### Survivor Memory

In Viterbi decoding, usually the trace-back architecture [(TBA)] is the preferred architecture for the survivor memory as it has considerably less power consumption than the  
15 register exchange architecture [(REA)]. R. Cypher and C.B. Shung, "Generalized Trace-Back Techniques For Survivor Memory Management In The Viterbi Algorithm," Journal of VLSI Signal Processing, vol. 5, pp. 85-94, 1993. However, as the trace-back architecture [(TBA)] introduces latency it cannot be used to store the survivor symbols, which are required in the  
20 decision-feedback unit [DFU] or multiplexer unit [MUXU] with zero latency. Thus, a hybrid survivor memory arrangement seems to be favorable for a reduced state sequence estimation [(RSSE)] implementation for a channel of memory length L. The survivors corresponding to the L past decoding cycles are stored in a register exchange architecture [(REA)], and survivors corresponding to later decoding cycles in a trace-back architecture [(TBA)]. Before symbols are moved from the register exchange architecture [(REA)] to the trace-back architecture [(TBA)],  
25 they are mapped to information bits to reduce the word size. However, in 1000 Base-T the register exchange architecture [(REA)] must be used for the entire survivor memory, as the latency introduced by the trace-back architecture [(TBA)] would lead to a violation of the tight latency budget specified for the receiver in the 1000 Base-T standard. Likewise, symbols moved from the first register exchange architecture [(REA)] to the second register exchange  
30 architecture [(REA)] are mapped to information bits to reduce the word size.

The survivor memory architecture is shown in FIG. 11, where only the first row corresponding to state one is shown.  $SX_n(\rho_n)$  denotes the decision for 4D subset SX for a transition from state  $\rho_n$  (for definition of 4D subsets see, Hatamian et al.),  $\hat{b}_{n-i}(\rho_n)$  are the 8 information bits which correspond to the 4D survivor symbol  $\hat{a}_{n-i}(\rho_n)$  and  $d_n(1)$  is the 2-bit decision of the add-compare-select [ACS] for state one. As the channel memory seen by the reduced state sequence estimation [(RSSE)] is one, only the first column stores 4D symbols, which are represented by 12 bits and are fed into the multiplexer unit [MUXU]. After this first column, the survivor symbols are mapped to information bits and then stored as 8 bits. For a merge depth of 14, this architecture needs 928 REGs compared to 1344 REGs in a[n] survivor memory unit [SMU] which does not apply the hybrid memory partition, where all decisions are stored as 12 bit 4D symbols.

It is to be understood that the embodiments and variations shown and described herein are merely illustrative of the principles of this invention and that various modifications may be implemented by those skilled in the art without departing from the scope and spirit of the invention.